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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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EXAMINER
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RIES, LAURIE ANNE

ART UNIT	PAPER NUMBER
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2176

DATE MAILED: 10/25/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

09/995,224

Applicant(s)

KLEIN ET AL.

Examiner

Laurie Ries

Art Unit

2176

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 19 August 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-23 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-23 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 26 November 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

### **DETAILED ACTION**

1. This action is responsive to communications: request for continued examination, filed 19 August 2005, to the original application filed 26 November 2001.
2. The previous rejection of claims 1-9 and 21 under 35 U.S.C. 101 has been removed as necessitated by amendment.
3. Claims 1-23 remain rejected under 35 U.S.C. 103(a).
4. Claims 1-23 are pending. Claims 1, 12, and 21 are independent claims.

### ***Response to Arguments***

5. The declarations filed on 1 July 2005 under 37 CFR 1.131 have been considered but are ineffective to overcome the Goodisman (U.S. Publication 2002/0069223 A1) and Subramanian (U.S. Publication 2002/0123912 A1) references.

The DECLARATION OF PRIOR INVENTION UNDER 37 C.F.R. 1.131 attempts to show conception of the invention prior to the effective dates of the Goodisman and Subramanian references, 17 November 2000 and 31 October 2000 respectively,

coupled with due diligence from prior to the reference date to the priority date 26 November 2001 of the application (actual reduction to practice).

#### I. Conception

A) A conception of an invention, though evidenced by disclosure, drawing, and even a model, is not a complete invention under the patent laws, and confers no rights on inventor, and has no effect on a subsequently granted patent to another, **UNLESS THE INVENTOR FOLLOWS IT WITH REASONABLE DILIGENCE BY SOME OTHER ACT.**

B) General allegation that the invention was completed prior to the date of the reference is not sufficient. *Ex parte Saunders*, 1883 C.D. 23.23 O.G. 1224 (Comm'r Pat. 183). Similarly, a declaration by the inventor to the effect that his or her invention was conceived or reduced to practice prior to the reference date, without a statement of facts demonstrating the correctness of this conclusion, is insufficient to satisfy 37 CFR 1.131 .

C) The affidavit or declaration and exhibits must clearly explain which **facts** or data The application is relying on to show completion of his or her invention prior to the particular date.

D. The affidavit or declaration must state **FACTS** and produce such documentary evidence and exhibits in support thereof as are available to show conception and completion of invention in this country or in a NAFTA or WTO member country (MPEP j

715.07(c)), at least the conception being at a date prior to the effective date of the reference. Where there has not been reduction to practice prior to the date of the reference, the applicant or patent owner must also show diligence in the completion of his or her invention from a time just prior to the date of the reference continuously up to the date of an actual reduction to practice or up to the date of filing his or her application (filing constitutes a constructive reduction to practice, 37 CFR 1.131).

## II. Diligence

A. Where conception occurs prior to the date of the reference, the reduction to practice is afterward, it is not enough merely to allege that applicant or patent owner had been diligent. *Ex parte Hunter*, 1889 C.D. 21 8, 49 O.G. 733 (Comm'r Pat. 1889). Rather, applicant must show evidence of facts establishing diligence.

B. The critical period in which diligence must be shown begins just prior to the effective date of the references 31 October 2000 and 17 November 2000, or activity and ends with the date of a reduction to practice, either actual or constructive (i.e., filing a United States patent application). The declarations and exhibits that were demonstrated by the application merely allege that the applicant has been diligent (see the Declaration of Jerald Hittle, page 3, paragraph 6, and Declaration of Richard Gerschwiler, page 2, paragraph 5).

C. The declarations and exhibits that were demonstrated by the application did not clearly explain which facts or data applicant is relying on to show completion of his or her invention prior to the priority filing date 26 November 2001 in determining the sufficiency of 37 CFR 1.131, the critical period in which diligence must be shown begin just prior to the effective date of the references 31 October 2000 and 17 November 2000 or activity ending with the date of a reduction to practice, either actual or constructive. Therefore, diligence and reduction to practice is not established. The affidavit is insufficient to overcome Goodisman (U.S. Publication 2002/0069223 A1) and Subramanian (U.S. Publication 2002/0123912 A1) according to 37 C.F.R. 1.131.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claims 1-4, 7, 10, 10-12 and 15-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Goodisman (U.S. Publication 2002/0069223 A1) in view of Subramanian (U.S. Publication 2002/0123912 A1).

**As per claims 1 and 12**, Goodisman discloses a system and method for providing associated links in content viewable by a computing browser-type application capable of receiving and displaying content including receiving online content by a computing application from a cooperating content server over a communications network (See Goodisman, Page 3, paragraphs 0032-00330, a recognizer, or pattern matcher, that cooperates with a linkify engine or helper object to compare the content with a predefined list of key-phrases and/or syntactic rules for recognizing key-phrase candidates. (See Goodisman, Page 6, paragraph 0053). Goodisman does not disclose expressly a helper object that does not cooperate with any other content viewing application. Subramanian discloses a Match Maker, equivalent to the helper object of the Instant Application, whose function is to parse the content of the current page, group attributes to form structured objects, communicate with the Rules Registry, and produce a set of contextually relevant advertisements (See Subramanian, Page 7, paragraph 0102, and Page 10, paragraph 0133). Goodisman and Subramanian are analogous art because they are from the same field of endeavor of linking data. At the time of the invention it would have been obvious to a person of ordinary skill in the art to include the Match Maker of Subramanian with the system and method for providing associated links of Goodisman. The motivation for doing so would have been to affiliate links that are automatically determined to be relevant to the customer's current interest on the Internet (See Subramanian, Page 1, paragraph 0002). Therefore, it would have been obvious to combine Subramanian with Goodisman for the benefit of affiliating links that are automatically determined to be relevant to the customer's current interest on the

Internet to obtain the invention as specified in claims 1 and 12.

**As per claim 2**, Goodisman and Subramanian disclose the limitations of claim 1 as described above. Goodisman also discloses at least one action handler, or targeting feature, to execute at least one pre-defined action related to the associated links. (See Goodisman, Page 6, paragraph 0053, and Page 7, paragraph 0056).

**As per claim 3**, Goodisman and Subramanian disclose the limitations of claim 1 as described above. Subramanian also discloses automatically updating the predefined list of key-phrases and/or syntactic rules (See Subramanian, Page 5, paragraph 0074). Goodisman and Subramanian are analogous art because they are from the same field of endeavor of linking data. At the time of the invention it would have been obvious to a person of ordinary skill in the art to include automatic updating of the database of Subramanian with the system for providing associated links of Goodisman. The motivation for doing so would have been to access the latest data whenever the browser is used (See Subramanian, Page 5, paragraph 0074). Therefore, it would have been obvious to combine Subramanian with Goodisman for the benefit of accessing the latest data whenever the browser is used to obtain the invention as specified in claim 3.

**As per claim 4**, Goodisman and Subramanian disclose the limitations of claim 1 as described above. Goodisman also discloses that the computing application includes a content browser computing application. (See Goodisman, Page 6, paragraph 0053).

**As per claim 7**, Goodisman and Subramanian disclose the limitations of claim 1 as described above. Goodisman also discloses that the associated links offer features



including additional associated links, which is included in the list of possible features set forth in claim 7. (See Goodisman, Pages 3-4, paragraph 0037).

**As per claim 10**, Goodisman and Subramanian disclose the limitations of claim 1 as described above. Goodisman also discloses that the computing browser-type application resides on a client computer of a networked computer environment. (See Goodisman, Figure 3, elements 42 and 48).

**As per claim 11**, Goodisman and Subramanian disclose the limitations of claim 10 as described above. Goodisman also discloses that the received content is received from at least one computer server of the networked computer environment. (See Goodisman, Figure 3, element 44).

**As per claim 15**, Goodisman and Subramanian disclose the limitations of claim 12 as described above. Goodisman also discloses executing the match true associated links upon interaction from participating users, the interaction being realized through at least one input from a user interface with the match true associated links. (See Goodisman, Page 3, paragraphs 0035-0036, Page 4, paragraph 0038, and Page 7, paragraph 0059).

**As per claim 16**, Goodisman and Subramanian disclose the limitations of claim 15 as described above. Goodisman also discloses aggregating content associated with the executed associated link, the aggregated content including any of a group including additional associated links, additional relevant content related to the executed content, execution commands for search operations, and execution commands to launch cooperating applications (See Goodisman, Page 3-4, paragraph 0037), and generating

an interactive display pane, which is populated with the aggregated content. (See Goodisman, Page 3-4, paragraph 0037).

**As per claim 17**, Goodisman and Subramanian disclose the limitations of claim 12 as described above. Goodisman also discloses separating the received online content into phrases and communicating the phrases to the recognizer, or pattern matcher. (See Goodisman, Page 6, paragraph 0053).

**As per claim 18**, Goodisman and Subramanian disclose the limitations of claim 12 as described above. Goodisman also discloses processing the phrases to identify any words that are contained in the predefined list of associated links. (See Goodisman, Page 6, paragraph 0053).

**As per claim 19**, Goodisman and Subramanian disclose the limitations of claim 12 as described above. Goodisman also discloses highlighting the match true associated links such that they appear having a different color and/or format than surrounding non-associated link content. (See Goodisman, Page 6, paragraph 0053).

**As per claim 20**, Goodisman and Subramanian disclose the limitations of claim 12 as described above. Goodisman also discloses a computer readable medium having computer executable instructions for performing the steps in claim 12. (See Goodisman, Page 7, paragraphs 0060 and 0062).

7. Claims 5-6 are rejected under 35 U.S.C. 103(a) as being unpatentable over Goodisman (U.S. Publication 2002/0069223 A1) in view of Subramanian (U.S.

Publication 2002/0123912 A1), as applied to claim 1 above, and further in view of Smith (U.S. Patent 6,222,537 B1).

**As per claims 5 and 6**, Goodisman and Subramanian disclose the limitations of claim 1 as described above. Goodisman and Subramanian do not disclose expressly the inclusion of a first and second listener including a set of instructions to monitor and be responsive to interaction with the computing application. Smith discloses the use of event listener objects, which include a set of instructions to monitor and interact with a computing application. (See Smith, Column 8, lines 23-32). Goodisman, Subramanian and Smith are analogous art because they are from the same field of endeavor of accessing information online. At the time of the invention it would have been obvious to a person of ordinary skill in the art to include the event listener objects of Smith with the method and system of providing associated links of Goodisman and Subramanian. The motivation for doing so would have been to be aware of events triggered by user interaction. (See Smith, Column 8, lines 28-32). Therefore, it would have been obvious to combine Smith with Goodisman and Subramanian for the benefit of tracking user interaction to obtain the invention as specified in claims 5 and 6.

8. Claims 8-9 are rejected under 35 U.S.C. 103(a) as being unpatentable over Goodisman (U.S. Publication 2002/0069223 A1) in view of Subramanian (U.S. Publication 2002/0123912 A1), as applied to claim 7 above, and further in view of Horowitz (U.S. Patent 6,122,647).

**As per claims 8 and 9**, Goodisman and Subramanian disclose the limitations of claim 7 as described above. Goodisman and Subramanian do not disclose expressly that the additional associated links are related to an underlying associated link. Horowitz discloses additional associated links that are related to an underlying associated link. (See Horowitz, Figure 5). Goodisman, Subramanian and Horowitz are analogous art because they are from the same field of endeavor of dynamically generating contextual links. At the time of the invention it would have been obvious to a person of ordinary skill in the art to include the additional associated links related to an underlying associated link of Horowitz with the additional associated links of Goodisman and Subramanian. The motivation for doing so would have been to generate new links from the target document that may be available or relevant. (See Horowitz, Column 2, lines 23-29). Therefore, it would have been obvious to combine Horowitz with Goodisman and Subramanian for the benefit of providing additional relevant links to obtain the invention as specified in claims 8 and 9.

9. Claim 13 is rejected under 35 U.S.C. 103(a) as being unpatentable over Goodisman (U.S. Publication 2002/0069223 A1) in view of Subramanian (U.S. Publication 2002/0123912 A1), as applied to claim 12 above, and further in view of Kippenhan (U.S. Publication 2002/0010769 A1).

**As per claim 13**, Goodisman and Subramanian disclose the limitations of claim 12 as described above. Goodisman also discloses displaying the generated processed content to participating users through a cooperating display device. (See Goodisman,

Page 6, paragraph 0053, Figure 3, element 42, and Page 5, paragraph 0050).

Goodisman and Subramanian do not disclose expressly monitoring the activity of the participating users with the match true associated links of generated processed content to offer content associated with the associated links. Kippenhan discloses monitoring user activity on a web browser. (See Kippenhan, Page 3, paragraph 0032).

Goodisman, Subramanian and Kippenhan are analogous art because they are from the same field of endeavor of accessing information online. At the time of the invention it would have been obvious to a person of ordinary skill in the art to combine the monitoring of user activity on the browser of Kippenhan with the method of providing associated links of Goodisman and Subramanian. The motivation for doing so would have been to identify and provide additional information about a given subject of interest to a user. (See Kippenhan, Page 1, paragraph 0010). Therefore, it would have been obvious to combine Kippenhan with Goodisman and Subramanian for the benefit of identifying and providing the user with additional information of interest to obtain the invention as specified in claim 13.

10. Claim 14 is rejected under 35 U.S.C. 103(a) as being unpatentable over Goodisman (U.S. Publication 2002/0069223 A1) in view of Subramanian (U.S. Publication 2002/0123912 A1) and Kippenhan (U.S. Publication 2002/0010769 A1), as applied to claim 13 above, and further in view of Smith (U.S. Patent 6,222,537 B1).

**As per claim 14**, Goodisman, Subramanian and Kippenhan disclose the limitations of claim 13 as described above. Goodisman, Subramanian and Kippenhan

do not disclose expressly the inclusion of a first and second listener including a set of instructions to monitor and be responsive to interaction with the computing application. Smith discloses the use of event listener objects, which include a set of instructions to monitor and interact with a computing application. (See Smith, Column 8, lines 23-32). Goodisman, Subramanian, Kippenhan and Smith are analogous art because they are from the same field of endeavor of accessing information online. At the time of the invention it would have been obvious to a person of ordinary skill in the art to include the event listener objects of Smith with the method and system of providing associated links of Goodisman, Subramanian and Kippenhan. The motivation for doing so would have been to be aware of events triggered by user interaction. (See Smith, Column 8, lines 28-32). Therefore, it would have been obvious to combine Smith with Goodisman, Subramanian and Kippenhan for the benefit of tracking user interaction to obtain the invention as specified in claim 14.

11. Claims 21-23 are rejected under 35 U.S.C. 103(a) as being unpatentable over Goodisman (U.S. Publication 2002/0069223 A1) in view of Subramanian (U.S. Publication 2002/0123912 A1) and Baird (U.S. Publication 2003/0080986 A1).

**As per claim 21**, Goodisman discloses a system and method for providing associated links in content viewable by a computing browser-type application capable of receiving and displaying content including receiving online content by a computing application from a cooperating content server over a communications network (See Goodisman, Page 3, paragraphs 0032-00330, a recognizer, or pattern matcher, that

cooperates with a linkify engine or helper object to compare the content with a predefined list of key-phrases and/or syntactic rules for recognizing key-phrase candidates (See Goodisman, Page 6, paragraph 0053), and an update engine on a computing application incorporating associated links in online content (See Goodisman, Claim 34). Goodisman does not disclose expressly a helper object that does not cooperate with any other content viewing application. Subramanian discloses a Match Maker, equivalent to the helper object of the Instant Application, whose function is to parse the content of the current page, group attributes to form structured objects, communicate with the Rules Registry, and produce a set of contextually relevant advertisements (See Subramanian, Page 7, paragraph 0102, and Page 10, paragraph 0133). Goodisman also does not disclose communicating with an update server to obtain data indicative of an updated associated link list. Baird discloses updating a list of links upon the execution of a preconfigured event. (See Baird, Page 5, paragraph 0048). Goodisman, Subramanian and Baird are analogous art because they are from the same field of endeavor of linking data. At the time of the invention it would have been obvious to a person of ordinary skill in the art to include the Match Maker of Subramanian and the update engine of Baird with the system and method for providing associated links of Goodisman. The motivation for doing so would have been to affiliate links that are automatically determined to be relevant to the customer's current interest on the Internet (See Subramanian, Page 1, paragraph 0002) and to remove links which have become outdated or are no longer available. (See Baird, Page 2, paragraph 0021). Therefore, it would have been obvious to combine Subramanian with

Goodisman for the benefit of affiliating links that are automatically determined to be relevant to the customer's current interest on the Internet and to remove links which have become outdated or are no longer available to obtain the invention as specified in claim 21.

**As per claim 22**, Goodisman, Subramanian and Baird disclose the limitations of claim 21 as described above. Goodisman also discloses modifying the existing predefined associated link lists to include data on the obtained associated link lists (See Goodisman, Page 6, paragraph 0053).

**As per claim 23**, Goodisman, Subramanian and Baird disclose the limitations of claim 21 as described above. Goodisman also discloses a computer readable medium having computer readable instructions for performing the steps recited in claim 21. (See Goodisman, Page 7, paragraphs 0060 and 0062).

### ***Conclusion***

12. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Laurie Ries whose telephone number is (571) 272-4095. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Heather Herndon, can be reached at (571) 272-4136.



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13. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

LR

*William L. Bashore*  
**WILLIAM BASHORE**  
**PRIMARY EXAMINER**  
*10/23/2005*